

NOTICE OF CLASS ACTION AND PROPOSED SETTLEMENT

If Nebraska Medicine and/or The University of Nebraska Medical Center Notified You of a Data Incident, You May be Eligible For Payment From a Class Action Settlement.

This is not a solicitation from a lawyer, junk mail, or an advertisement. A court authorized this Notice.

- A proposed Settlement has been reached in a class action lawsuit known as *Chacon et al. v. Nebraska Medicine*, Case No. 8:21-cv-00070-RFR-CRZ, United States District Court for the District of Nebraska.
- This lawsuit arises out of a network intrusion which occurred between August 27, 2020 and September 20, 2020 that was discovered by Nebraska Medicine and/or the University of Nebraska Medical Center (“UNMC”) on or about September 20, 2020 (the “Data Incident”). The information that may have been accessed in the Data Incident includes names, addresses, dates of birth, health insurance information, medical record numbers, and/or clinical information (including physician notes, laboratory results, imaging, diagnosis information, treatment information, and/or prescription information) (collectively, called “PII”) and, in some instances, Social Security and/or driver’s license numbers. Defendant disagrees with Plaintiffs’ claims.
- All Settlement Class Members can receive the following benefits from the Settlement: (1) up to \$300 for documented out-of-pocket expenses, (2) reimbursement for up to six (6) hours of lost time spent dealing with the Data Incident (\$20 per hour), and (3) reimbursement for extraordinary losses up to \$3,000 for documented expenses. Defendant also agrees to update business practices and enhance security.
- Credit Monitoring Subclass Members, which include those who had their Social Security and/or driver’s license numbers potentially accessed, will also automatically receive one (1) year of additional credit monitoring services without the need to make any affirmative claim. This credit monitoring is in addition to any credit monitoring previously offered by Nebraska Medicine and/or UNMC following the Data Incident.
- You are included in this Settlement as a Class Member if you were mailed notification that indicated your PII was potentially accessed as a result of the Data Incident.
- You are included in this Settlement as a Credit Monitoring Subclass Member if you were mailed notification that indicated your Social Security and/or driver’s license number was potentially accessed as a result of the Data Incident.
- Your legal rights are affected regardless of whether you do or do not act. Read this Notice carefully.

YOUR LEGAL RIGHTS & OPTIONS IN THIS SETTLEMENT

Submit a Claim and/or Receive Credit Monitoring	<p>You must submit a Valid Claim to get money from this Settlement.</p> <p>Claim Forms must be submitted online by October 4, 2021 by 11:59 p.m. Central Time or, if mailed, postmarked no later than October 4, 2021.</p> <p>You do not have to submit a Claim to get Credit Monitoring if you are entitled to it as a Credit Monitoring Subclass Member.</p> <p>If you are a Credit Monitoring Subclass Member, you do not need to make a claim for additional monitoring. If you previously signed up for monitoring, your monitoring will be automatically extended for one (1) additional year. If you did not previously sign up for monitoring, Experian will be contacting you directly to make you an offer of one (1) free year of credit monitoring.</p>
Do Nothing	<p>If you do nothing, you remain in the Settlement.</p> <p>You give up your rights to sue and you will not get any money.</p>
Exclude Yourself	<p>Get out of the Settlement. Get no money. Keep your rights.</p> <p>This is the only option that allows you to keep your right to sue about the claims in this lawsuit. You will not get any money from the Settlement.</p> <p>Your request to exclude yourself must be postmarked no later than September 7, 2021.</p>
File an Objection	<p>Stay in the Settlement but tell the Court why you think the Settlement should not be approved. Objections must be postmarked no later than September 7, 2021.</p>
Go to a Hearing	<p>You can ask to speak in Court about the fairness of the Settlement, at your own expense. See Question 18 for more details.</p> <p>The Final Fairness Hearing is scheduled for September 15, 2021.</p>

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BASIC INFORMATION

1. How do I know if I am affected by the Lawsuit and Settlement?

You are a Settlement Class Member if you are one of the approximately 125,902 persons who were mailed notification that their PII was potentially impacted as a result of the Data Incident that occurred between August 27, 2020 and September 20, 2020.

You are a Credit Monitoring Subclass Member if you are one of the approximately 13,497 persons who were mailed notification that their Social Security and/or driver's license numbers may have been potentially accessed as a result of the Data Incident.

The Settlement Class specifically excludes: (i) Nebraska Medicine, the Related Entities, and their officers and directors; (ii) all Settlement Class Members who timely and validly request exclusion from the Settlement Class; (iii) Judge Robert F. Rossiter and his staff and family; (iv) Magistrate Judge Cheryl R. Zwart and her staff and family; and (v) any other Person found by a court of competent jurisdiction to be guilty under criminal law of initiating, causing, aiding or abetting the criminal activity occurrence of the Data Incident, or who pleads *nolo contendere* to any such charge. This Notice explains the nature of the lawsuit and claims being settled, your legal rights, and the benefits to the Class.

2. What is this case about?

Judge Robert F. Rossiter of the United States District Court for the District of Nebraska is overseeing this class action. The case is known as *Chacon et al. v. Nebraska Medicine*, Case No. 8:21-cv-00070-RFR-CRZ, United States District Court for the District of Nebraska. The people who sued are called the "Plaintiffs," and the company they sued, Nebraska Medicine, is known as the "Defendant" in this case. It will be called "Defendant" in this Notice.

Plaintiffs filed a lawsuit against Defendant, individually, and on behalf of anyone whose personally identifiable information ("PII") was potentially impacted as a result of the Data Incident.

This lawsuit arises out of a network intrusion which occurred between August 27, 2020 and September 20, 2020 that was discovered by Nebraska Medicine and/or the University of Nebraska Medical Center ("UNMC") on or about September 20, 2020 (the "Data Incident"). The information that may have been accessed in the Data Incident includes names, addresses, dates of birth, health insurance information, medical record numbers, and/or clinical information (including physician notes, laboratory results, imaging, diagnosis information, treatment information, and/or prescription information) (collectively, called "PII") and, in some instances, Social Security and/or driver's license numbers. Defendant denies any wrongdoing. After learning of the Data Incident, notification was mailed to approximately 125,902 individuals that their PII may have been impacted by the Data Incident. Of those 125,902 individuals, 13,497 individuals were mailed notification that their Social Security and/or driver's license numbers may have been potentially accessed. Subsequently, this lawsuit was filed asserting claims against Nebraska Medicine relating to the Data Incident (the "Litigation").

3. Why is there a Settlement?

By agreeing to settle, both sides avoid the cost, disruption, and distraction of further litigation. The Class Representatives, Defendant, and their attorneys believe the proposed Settlement is fair, reasonable, and adequate and, thus, best for the Class Members. The Court did not decide in favor of the Plaintiffs or Defendant. Full details about the proposed Settlement are found in the Settlement Agreement available at www.NebraskaSettlement.com

4. Why is this a class action?

In a class action, one or more people called “Class Representatives” sue on behalf of all people who have similar claims. All of these people together are the “Class” or “Class Members.”

5. How do I know if I am included in the Settlement?

You are included in the Settlement if you were mailed notification that your PII and/or Social Security or driver’s license number was potentially impacted as a result of the Data Incident that occurred between August 27, 2020 and September 20, 2020. If you are not sure whether you are included, if you are a Credit Monitoring Subclass Member, or have any other questions about the Settlement, visit www.NebraskaSettlement.com, call toll free **844-367-8806**, or write to Chacon v. Nebraska Medicine, PO Box 8517, Philadelphia, PA 19101-8517.

THE SETTLEMENT BENEFITS

6. What does this Settlement provide?

The proposed Settlement will provide the following benefits to Class Members:

Expense Reimbursement

Documented Out-of-Pocket Expense Reimbursement: All Settlement Class Members who submit a Valid Claim using the Claim Form are eligible for the following documented out-of-pocket expenses, not to exceed \$300 per Settlement Class Member, that were incurred as a result of the Data Incident: (i) unreimbursed bank fees; (ii) long distance phone charges; (iii) cell phone charges (if charged by the minute); (iv) data charges (if charged based on the amount of data used); (v) postage; (vi) gasoline for local travel; and (vii) fees for credit reports, credit monitoring, or other identity theft insurance products purchased by Settlement Class Members between August 27, 2020 and the Claims Deadline. To receive reimbursement for any of the above-referenced out-of-pocket expenses, Settlement Class Members must submit a valid and timely Claim, including necessary supporting documentation, to the Claims Administrator.

Lost Time Reimbursement: Settlement Class Members are also eligible to receive reimbursement for up to six (6) hours of lost time spent dealing with the Data Incident (calculated at the rate of \$20 per hour), but only if at least one (1) full hour was spent. Settlement Class Members may receive reimbursement for up to three (3) hours of lost time if the Settlement Class Member (i) attests that any claimed lost time was spent related to the Data Incident; and (ii) provides a written description of how the claimed lost time was spent related to the Data Incident. Settlement Class Members may claim reimbursement for up to an additional three (3) hours of lost time if the claimant submits reasonable supporting documentation of time spent (e.g. employment records showing time off of work to deal with effects of the Data Incident). Claims made for lost time can be combined with reimbursement for out-of-pocket expenses and are subject to the same \$300 cap for all Settlement Class Members. For any lost time claimed in excess of three (3) hours, the Settlement Class Member must submit reasonable supporting documentation of time spent.

Documented Extraordinary Loss Reimbursement: Settlement Class Members are also eligible to receive reimbursement for extraordinary losses, not to exceed \$3,000 per Settlement Class Member for documented monetary loss that: (i) is actual, documented, and unreimbursed; (ii) was more likely than not caused by the Data Incident; (iii) occurred between August 27, 2020 and the Claims Deadline; and (iv) is not already covered by any other reimbursement category covered by this Settlement. Settlement Class Members must also provide documentation that he or she made reasonable efforts to avoid, or seek reimbursement for, the

loss, including but not limited to exhaustion of all available credit monitoring insurance and identity theft insurance.

Credit Monitoring for Credit Monitoring Subclass Members: Credit Monitoring Subclass Members, or those that had their Social Security and/or driver's license numbers potentially accessed, will be automatically provided one (1) year of additional credit monitoring services. This one (1) year of credit monitoring is in addition to any credit monitoring previously offered by Nebraska Medicine and/or UNMC following the Data Incident. If you are a Credit Monitoring Subclass Member, you do not need to make a claim for additional monitoring. If you previously signed up for monitoring offered by Nebraska Medicine and/or UNMC, your monitoring will be automatically extended for one (1) additional year by Experian. If you did not previously sign up for monitoring, Experian will be contacting you directly to make you an offer of one (1) free year of credit monitoring.

Business Practice Changes: Nebraska Medicine will implement and keep in place through December 31, 2022 specific security-related measures and enhancements. These measures are detailed in a confidential Appendix A that the Settling Parties agree will be filed under seal with the Court. Generally, Nebraska Medicine will implement and enhance password and user-identity protocols and email and user-browsing protocols. Nebraska Medicine will also enhance and limit its remote access capabilities. Further, Nebraska Medicine will update, strengthen, and enhance its network security and system security measures, including additional endpoint, vulnerability, and firewall measures. Nebraska Medicine will also implement, update, and enhance its security operations center and conduct periodic, enhanced risk assessments.

7. How to submit a Claim?

All Claims will be reviewed by the Settlement Administrator and/or a claims referee. You must file a Claim Form to get any money from the proposed Settlement. Claim Forms must be submitted online by **October 4, 2021 at 11:59 p.m. Central Time** or postmarked no later than **October 4, 2021**. You can download a Claim Form at www.NebraskaSettlement.com or you can call the Claims Administrator at **844-367-8806**.

8. What am I giving up as part of the Settlement?

If you stay in the Settlement Class, you will be eligible to receive benefits, but you will not be able to sue Nebraska Medicine and its Related Entities and each of their past or present parents, subsidiaries, divisions, and related or affiliated entities, and each of their respective predecessors, successors, directors, officers, principals, agents, attorneys, insurers, and reinsurers regarding the claims in this case. The Settlement Agreement, which includes all provisions about settled claims, releases, and released parties, is available at www.NebraskaSettlement.com

The only way to keep the right to sue is to exclude yourself (*see* Question 10), otherwise you will be included in the Settlement Class, if the Settlement is approved, and you give up the right to sue for the claims in this case.

9. Will the Class Representatives receive compensation?

Yes. Class Representatives will receive a service award of up to a maximum total amount of \$2,000 each (\$4,000 total), to compensate them for their services and efforts in bringing the lawsuit. The Court will make the final decision as to the amount, if any, to be paid to the Class Representatives.

EXCLUDE YOURSELF

10. How do I exclude myself from the Settlement?

If you do not want to be included in the Settlement, you must send a written request for exclusion, postmarked no later than **September 7, 2021** to:

Chacon v. Nebraska Medicine
PO Box 8517
Philadelphia, PA 19101-8517

Instructions on how to submit a request for exclusion are available at www.NebraskaSettlement.com or from the Settlement Administrator by calling **844-367-8806**.

If you exclude yourself, you will not be able to receive any cash benefits from the Settlement and you cannot object to the Settlement. You will not be legally bound by anything that happens in this lawsuit and you will keep your right to sue the Defendant on your own for the claims that this Settlement resolves.

11. If I do not exclude myself, can I sue later?

No. If you do not exclude yourself from the Settlement, and the Settlement is approved by the Court, you forever give up the right to sue the Released Persons (listed in Question 8) for the claims this Settlement resolves.

12. What happens if I do nothing at all?

If you do nothing, you will be bound by the Settlement if the Court approves it, you will not get any money from the Settlement, you will not be able to start or proceed with a lawsuit, or be part of any other lawsuit against the Released Persons (listed in Question 8) about the settled claims in this case at any time.

THE LAWYERS REPRESENTING YOU

13. Do I have a lawyer in the case?

Yes. The Court has appointed Mason Lietz & Klinger LLP (called “Class Counsel”) to represent the interests of all Class Members in this case. You will not be charged for these lawyers. If you want to be represented by your own lawyer, you may hire one at your own expense.

14. How will the lawyers be paid?

Class Counsel will apply to the Court for an award of attorneys’ fees and litigation expenses in an amount not to exceed \$195,000. A copy of Class Counsel’s Application for Attorneys’ Fees and Expenses will be posted on the Settlement Website, www.NebraskaSettlement.com, before the Final Fairness Hearing. The Court will make the final decisions as to the amounts to be paid to Class Counsel, and may award less than the amount requested by Class Counsel.

OBJECTING TO THE SETTLEMENT

15. How do I tell the Court that I do not like the Settlement?

If you want to tell the Court that you do not agree with the proposed Settlement or some part of it, you can submit an Objection telling it why you do not think the Settlement should be approved. Objections must be submitted in writing and include all the following information:

Such notice shall state:

- (i) the objector's full name, address, telephone number, and e-mail address (if any);
- (ii) information identifying the objector as a Settlement Class Member, including proof that the objector is a member of the Settlement Class (e.g., copy of notice, copy of original notice of the Data Incident);
- (iii) a written statement of all grounds for the objection, accompanied by any legal support for the objection the objector believes applicable;
- (iv) the identity of any and all counsel representing the objector in connection with the objection;
- (v) a statement whether the objector and/or his or her counsel will appear at the Final Fairness Hearing;
- (vi) the objector's signature and the signature of the objector's duly authorized attorney or other duly authorized representative (along with documentation setting forth such representation); and
- (vii) a list, by case name, court, and docket number, of all other cases in which the objector and/or the objector's counsel has filed an objection to any proposed class action settlement within the last three (3) years.

Your Objection must be submitted to the Clerk of the Court by First-Class mail, received no later than **September 7, 2021**, to:

Clerk of the Court,
111 South 18th Plaza, Suite 1152
Omaha, NE 68102

It must include the case name and docket number: *Chacon, et al. v. Nebraska Medicine*, Case No. 8:21-cv-00070-RFR-CRZ (the "*Chacon* Action"), no later than **September 7, 2021**.

In addition, you must mail a copy of your Objection to Class Counsel and Defense Counsel postmarked no later than **September 7, 2021**:

CLASS COUNSEL	DEFENSE COUNSEL
Mason Lietz & Klinger LLP, 227 W. Monroe Street, Suite 2100 Chicago, Illinois 60606	Casie D. Collignon, Baker & Hostetler, LLP, 1801 California Street, Suite 4400, Denver, Colorado 80202

If you do not submit your Objection with all requirements, or if your Objection is not received by **September 7, 2021**, you will be considered to have waived all Objections and will not be entitled to speak at the Final Fairness Hearing.

16. What is the difference between objecting and asking to be excluded?

Objecting is simply telling the Court that you don't like something about the Settlement. You can object only if you stay in the Class. Excluding yourself is telling the Court that you don't want to be part of the Class. If you exclude yourself, you have no basis to object because the Settlement no longer affects you.

THE FINAL FAIRNESS HEARING

17. When and where will the Court decide whether to approve the Settlement?

The Court will hold the Final Fairness Hearing at 9:00 a.m. on **September 15, 2021**, at Courtroom No. 4, of the Roman L. Hruska U.S. Courthouse, 111 South 18th Plaza, Omaha, Nebraska 68102. The hearing may be moved to a different date, time, or location without additional notice, so it is recommended that you periodically check www.NebraskaSettlement.com for updated information.

At the hearing, the Court will consider whether the proposed Settlement is fair, reasonable, adequate, and is in the best interests of Settlement Class Members, and if it should be approved. If there are valid Objections, the Court will consider them and will listen to people who have asked to speak at the hearing if the request was made properly. The Court will also consider the award of Attorneys' Fees and Expenses to Class Counsel and the request for a service award to the Class Representatives.

18. Do I have to come to the hearing?

No. You are not required to come to the Final Fairness Hearing. However, you are welcome to attend the hearing at your own expense.

If you submit an Objection, you do not have to come to the hearing to talk about it. If your Objection was submitted properly and on time, the Court will consider it. You also may pay your own lawyer to attend the Final Fairness Hearing, but that is not necessary.

19. May I speak at the hearing?

Yes. You can speak at the Final Fairness Hearing but you must ask the Court for permission. To request permission to speak, you must file an Objection according to the instructions in Question 15, including all the information required. You cannot speak at the hearing if you exclude yourself from the Settlement.

DO NOTHING

20. What happens if I do nothing?

If you do nothing, you will not get any money from the Settlement, you will not be able to sue for the claims in this case, and you release the claims against Defendant described in Question 8.

GET MORE INFORMATION

21. How do I get more information about the Settlement?

This is only a summary of the proposed Settlement. If you want additional information about this lawsuit, including a copy of the Settlement Agreement, the complaint, the Court's Preliminary Approval Order, Class Counsel's Application for Attorneys' Fees and Expenses, and more, please visit www.NebraskaSettlement.com or call **844-367-8806**. You may also contact the Settlement Administrator at Chacon v. Nebraska Medicine PO Box 8517 Philadelphia, PA 19101-8517.

**PLEASE DO NOT ADDRESS ANY QUESTIONS ABOUT THE SETTLEMENT
OR LITIGATION TO THE CLERK OF THE COURT OR THE JUDGE.**